



EVMS

CONRAD
LEADERS IN GLOBAL HEALTH INNOVATION


OLD DOMINION UNIVERSITY
Research Foundation

Request for Proposal (RFP)

Manufacturer of GMP Pellets Containing Hormonal Compounds

RFP#: 24-101A

RFP Draft Date: May 31, 2024

REQUEST FOR PROPOSAL (RFP)

RFP Number: 24-101A

Issue Date: June 3, 2024

Project Title: Manufacturer of GMP pellets containing hormonal compounds

Issuing Department: CONRAD

Location: Contractor’s Facility

Anticipated Dates of MSA Term: 3 years

Due Date for RFP Questions: June 28, 2024 at 4:00 PM EST

RFP Closing Date (Sealed Proposals will be received Until): **July 8, 2024 at 5:00 PM EST**

Additional RFP Information: Addenda and Amendments to this RFP will be posted on the following EVMS website: <https://researchfoundation.odu.edu/procurement/solicitations/>

(REV 07/22)

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Please direct all inquiries for information about this RFP, in writing, to the **CONRAD Grants & Contracts Specialist** at the following email address: scasstevens@conrad.org

NO QUESTIONS WILL BE ANSWERED BY PHONE

CONRAD/EVMS or the Old Dominion University Research Foundation will post all questions and responses on:

<https://researchfoundation.odu.edu/procurement/solicitations> to ensure that responses to RFP questions are available to all responsible bidders (“Offerors”). However, it is the sole responsibility of the Offeror(s) to periodically check the aforementioned website for any response(s) to RFP question(s).

In addition, while the RFP is open, and once the RFP has closed, Offeror(s) shall not contact CONRAD/EVMS or Old Dominion University Research Foundation (ODURF) staff directly to ask questions about the RFP. **This restriction on Offeror communication with CONRAD/EVMS/ODURF staff is to ensure fairness in the competitive process.**

RFP Time

Extensions:

Requests for additional RFP information and the associated responses shall not extend the due date for bids in response to this RFP. To ensure fairness in the competition process and that all Offerors are given the same amount of time to respond to the RFP CONRAD/EVMS/ODURF reserves the right, at CONRAD/EVMS/ODURF, including the Old Dominion University Research Foundation's, sole discretion, to extend the time for responses to this RFP to all Offerors, as deemed appropriate; and, under no circumstances shall separate or individual extensions be granted. CONRAD/EVMS/ODURF will post all RFP extensions on the following website:

<https://researchfoundation.odu.edu/procurement/solicitations>

PROPOSALS MAY BE MAILED, SENT BY OVERNIGHT OR EXPRESS COURIER, HAND-DELIVERED, OR EMAILED TO:

Mail, Overnight or Express Courier

ODU Research Foundation

Attn: RFP No: 24-101A

4111 Monarch Way, Suite 204

Norfolk, VA 23508

Hand Delivery

ODU Research Foundation

Subject: RFP No: 24-101A

Attn: Sr. Director for Finance & Administration

4111 Monarch Way, Suite 204

Norfolk, VA 23508

E-Mail Delivery

Subject Line on Email:

RFP No: 24-101A

CONRAD Grants & Contracts Specialist

scasstevens@conrad.org with a copy to rfpurchasing@odu.edu

Refer to RFP Section 7.0 for specific proposal instructions, including email delivery requirements.

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1.0 BACKGROUND

CONRAD/EVMS, a Division of the Department of Obstetrics and Gynecology of Eastern Virginia Medical School (CONRAD/EVMS), is a research and development organization whose mission is to help improve sexual and reproductive health globally by enabling prevention of HIV/STIs, unintended pregnancies and maternal and neonatal diseases through the development of innovative, user centered technologies.

CONRAD/EVMS was founded in 1986 and is located in Norfolk, Virginia. To accomplish its mission, CONRAD/EVMS receives funding from the Federal Government, private foundations and other nonprofit organizations. Specific research and development activities, some of which are contracted to various entities, are then directed and overseen by CONRAD/EVMS to accomplish the objectives of the award.

Currently, CONRAD/EVMS's main activities include:

- Conducting clinical trials for product safety and efficacy
- Development of novel on-demand and long-acting delivery systems
- Development of multipurpose prevention technologies
- Developing new biomarkers of adherence for microbicide products

Additional information about CONRAD/EVMS can be found at www.conrad.org and <https://researchfoundation.odu.edu/procurement/>.

This RFP is in accordance with the policies of Eastern Virginia Medical School and Old Dominion University Research Foundation. On July 1, 2024, Eastern Virginia Medical School will integrate into Old Dominion University. Old Dominion University Research Foundation, a Section 501©(3) not-for-profit organization serves as the administrative, financial, and fiscal agent for Old Dominion University in research and sponsored program administration. It provides information to responsible bidders (referred throughout this RFP as "Offeror(s)" and/or "Contractor(s).") in order to solicit competitive proposals ("Proposals").

2.0 PURPOSE:

The purpose of this RFP is to solicit Proposals from qualified Offerors, and to establish a Master Services Agreement ("MSA") for manufacturing of implantable pellets containing hormonal (progesterin and estrogen) compounds under cGMP conditions. Selection of the Offeror(s) is based on Offerors' proposals for manufacturing and characterization of GMP pellets. Selected Offerors(s) may also be utilized for analytical services, packaging, terminal sterilization, storage, stability testing, shipping, and supply of final pellet product(s) for Phase I clinical study. Offerors must demonstrate the ability to tech-transfer, manufacture, and characterize the requested pellets or similar products under GMP conditions following relevant Food and Drug Administration (FDA) guidance and regulations, such as, but not limited to, 21 CFR Part 210 and 21 CFR Part 211.

3.0 SCOPE OF WORK

CONRAD has developed a subdermal pellet drug product and is seeking to transfer the technology from the R&D stage to the GMP stage in order to scale up and manufacture product for GLP and clinical studies. Specifically, the scope of work being requested includes the following:

- Transfer of existing R&D technology for the product from CONRAD to a GMP facility to include:
 - Formulation development report/data
 - Manufacturing process
 - Analytical test methods
 - Method qualification/validation reports
 - Stability data
- Qualification/validation of analytical methods appropriate for release of Phase 1 clinical trial material (CTM) and supporting a stability study of Phase 1 CTM
- Manufacturing process development and scale-up
- Development/validation of terminal sterilization process
- Production, packaging, terminal sterilization, and testing of non-GMP tech transfer batch
- Production, packaging, terminal sterilization, testing, and release of GMP CTM batch
- Stability study for GMP CTM batch

A brief overview of the pellet products, their manufacturing process, and characterization parameters are provided below.

Product Overview:

Cylindrical pellets (Figure 1) with weights of ≤ 100 mg, uncoated or coated with a thin ≤ 100 μm polymeric membrane made of a combination of biodegradable polymers. The size (diameter/width x length) of each pellet is around 3 mm x 8 mm or 3 mm x 5 mm. The pellets will be manufactured aseptically, placed in individual containers (e.g., glass vials) and the final packaged product will be terminally sterilized using Gamma or E-beam methods.

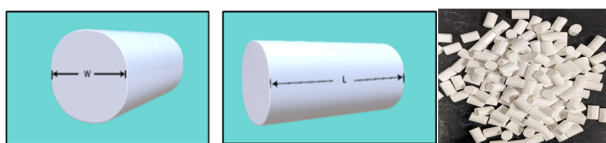


Figure 1: Schematics of a 3x8 mm Pellet with the Pellets manufactured at R&D Scale

Manufacturing of Pellets:

The GLP/GMP manufacturing batch sizes for quality control, stability, and Phase I clinical testing are ≤ 1 kg or no more than 5 kg. All excipients used in the pellet formulations are FDA approved and considered safe for use in pharmaceutical products. The drug-loaded uncoated pellets will be manufactured under aseptic conditions using multiple steps of granulation (wet granulation), sieving, blending, drying, and compression processes. Manufacturing process should be able to maintain uncoated pellet integrity and physical properties (hardness, weight, and size) to the targeted values. Coating of uncoated pellets will be done using a solution of biodegradable polymers in a mixture of organic solvents.

Quality Control Parameters:

Pellets will be characterized for the following parameters: Appearance (shape/color by visual observation), Identification of drugs and drug assays (by HPLC), Related substances (total and individual impurities by HPLC), USP <905> Uniformity of dosage units (pellet size and weight by Micrometer and Analytical balance), Surface coating (coating thickness and uniformity by Micrometer), Hardness (by Hardness tester), Moisture content (by Karl Fischer Titration), Residual solvents (by Gas Chromatography), Microbiological limits, Endotoxin limits, and Sterility.

Stability Testing Time-points and Parameters:

The long-term and accelerated stability of pellets will be evaluated for the following conditions, parameters, and time-points:

Condition and Time-points:

- 25°C ± 2°C/60% RH ± 5% RH: Contingency samples and will be tested as required
- 30°C ± 2°C/75% RH ± 5% RH: T=0, 3, 6, 9, 12, 18, 24, 36 months
- 40°C ± 2°C/75% RH ± 5% RH: T=0, 1, 3, 6 months

Testing parameters:

- Appearance (shape/color)
- Pellet size and weight (
- Drug assays
- Identification (T=0 only)
- Related substances
- Surface coating (coating thickness and uniformity)
- Hardness
- Moisture content
- Sterility test

4.0 METHOD OF PAYMENT:**Entities in the United States:**

- A. The Offeror shall enroll in and acknowledges that payment will be made by Electronic Funds Transfer. Invoices shall be sent to rfinvoices@odu.edu. Once an invoice is approved for payment, an electronic remittance advice shall be sent to the Offeror by email or facsimile, instead of a check. Payments can be retrieved with ODURF's designated account number that will be assigned to the Offeror. Terms begin after receipt of proper invoice or material/service, whichever is later.

Submit invoices to the following address:

ODU Research Foundation
CONRAD Grants & Contracts Administration

Email: rfinvoices@odu.edu

5.0 **INVOICES, REPORTING AND DELIVERY INSTRUCTIONS**

A. The Contractor shall prepare and submit invoices and reports to ODURF/CONRAD according to the following schedule:

	<u>Due Date</u>
1. Invoices	No more than monthly, preferably upon initiation/completion of service tasks.
2. Final Technical Report & Final Invoice	Forty-five (45) Days after Scope of Work completion and/or after expiration or Termination of the Individual Task Order.

6.0 **PRE-PROPOSAL CONFERENCE (Optional)**

Omitted

7.0 **PROPOSAL PREPARATION AND SUBMISSION INSTRUCTIONS**

A. **General Instructions:**

Proposals should be prepared simply and economically, providing a straightforward, concise description of the firm's capabilities to satisfy the requirements of the RFP. Elaborate brochures and other representations beyond that sufficient to present a complete and effective proposal are neither required nor desired.

Delivery of the Proposal must be by one of the following methods:

Mail, Overnight or Express Courier to:

Mail, Overnight or Express Courier

ODU Research Foundation
Attn: RFP No: 24-101A
4111 Monarch Way, Suite 204
Norfolk, VA 23508

Hand Delivery

ODU Research Foundation
Subject: RFP No: 24-101A
Attn: Sr. Director for Finance & Administration
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E-Mail Delivery

Subject Line on Email:

RFP No: 24-101A

CONRAD Grants & Contracts Specialist

scasstevens@conrad.org with a copy to rfpurchasing@odu.edu

B. RFP Submission:

1. **Complete Submissions:**

Except as provided for under the Proposal Preparation Section, 8.0 (C)(1)(c) which addresses the circumstances under which the submission of missing Proposal information would be acceptable, Offerors must submit a complete response to this RFP in order to be considered for selection under this RFP.

2. **Delivery:**

By Mail, Overnight or Express Courier or Hand Delivery -

If Proposals are delivered by mail, overnight or express courier or hand-delivery, Offerors must provide **one (1) original and 2 copies** of each Proposal along with an electronic copy of the proposal on a flash drive in Microsoft Word, Excel(if applicable) and PDF format must be submitted to CONRAD/EVMS for consideration. Each copy must include all addenda acknowledgements, if any, and completed attachments signed and filled out as required.

By E-Mail Delivery

Proposals shall be emailed to: scasstevens@conrad.org

Please note CONRAD/EVMS's mail exchange server has a limit of 25MB for incoming proposals. **Proposals received in the email inbox after the deadline will not be considered, regardless of the time the email was sent.** It is the responsibility of the offeror to ensure delivery of the full proposal by the designated date and hour. It is strongly recommended to email the proposal well in advance to avoid the potential for delays in delivery which would render the submittal untimely.

3. **Proposal Acceptance Period:**

All Proposals must be received by the Due Date and time found on page 1 of this RFP (the "RFP Close"). Proposals received after RFP Close will not be considered. RFP modifications, unless requested by CONRAD/EVMS and posted to all Offerors on the EVMS RFPs website, will not be considered.

4. **Proposal Withdrawals:**

At the sole discretion of the Offeror(s), Proposals may be withdrawn at any time prior to the RFP Close. After RFP Close, letters to withdraw a Proposal will not

be accepted and Proposals will remain valid until an award is made and a contract is executed or the RFP is canceled. If an award is not made within 120 days from the RFP closure date, the Proposal may be withdrawn at the written request of the Offeror.

C. Proposal Preparation:

1. Proposals shall be signed by an authorized representative of the Offeror (Attachment B). All information requested should be submitted to ensure consideration as a responsive bidder. Failure to submit all information requested may result in the following:
 - a. CONRAD/EVMS may reject the Proposal as unresponsive. Proposals which are substantially incomplete or lack key information, may be rejected by CONRAD/EVMS; or,
 - b. CONRAD/EVMS may lower the overall evaluation score due to missing information; or,
 - c. CONRAD/EVMS may require prompt submission of missing information within a prescribed time period and/or the Offeror shall receive a lower overall evaluation score due to missing information lowered evaluation of the Proposal. If after being given an opportunity to submit missing information, the Offeror does not provide all of the requested information then subsections 7.0 C (1)(a) and (b) above apply.
2. All information above must be submitted along with the RFP cover sheet (Attachment A) and an Entity Data Sheet (Attachment C). Failure to submit all information requested may result in CONRAD/EVMS requiring prompt submission of missing information and/or giving a lowered evaluation of the Proposal. CONRAD/EVMS may reject Proposals which are substantially incomplete or lack key information.
3. Proposals should be prepared simply and economically, providing a straightforward, concise description of capabilities to satisfy the requirements of the RFP. Emphasis should be placed on completeness and clarity of content and include or address the following:
 - a. **Organization** - Proposals should be organized in the order in which the requirements are presented in the RFP;
 - b. **Pagination** - All pages of the Proposal should be numbered;
 - c. **Paragraph Structure** - Each paragraph in the Proposal should reference the paragraph number of the corresponding section of the RFP and it is also helpful to cite the paragraph number, sub letter, and repeat the text of the requirement as it appears in the RFP. If a response covers more than

one page, the paragraph number and sub letter should be repeated at the top of the next page;

- d. **Table of Contents** - The Proposal should contain a table of contents, which cross-references the RFP requirements; and,
- e. **Additional Information** - Information which the Offeror desires to present that does not fall within any of the requirements of the RFP should be inserted at an appropriate place or be attached at the end of the Proposal and designated as additional material.

Incomplete Proposals or Proposals which lack clarity of content and organization may risk a reduced evaluation score or elimination from consideration.

- 4. As used in this RFP, the terms “must”, “shall”, “should” and “may” identify the critical requirements. “Must” and “shall” identify requirements where absence will have a major negative impact on the suitability of the Project and/or mandatory requirements, which are required by law or regulation and as such they cannot be waived and are not subject to negotiation. This includes any applicable prime award funding flow-down provisions, which are mandatory and/or required as applicable. Any federal standard provisions are an example of mandatory terms and conditions that are not subject to negotiation. Items labeled as “should” or “may” are highly desirable, although their absence will not have a large impact on the Project and would be useful, but are not absolutely necessary or required. The inability of an Offeror to satisfy a “must” or “shall” requirement does not automatically remove that Offeror from consideration; however, it may seriously affect the overall rating of the Offerors’ Proposal.
- 5. Each copy of the submitted Proposal contained in a single volume where practical (i.e., binder, stapled, or otherwise secured so that the pages will not easily separate or come loose). All documentation submitted with the Proposal should be contained in that single volume. For electronic submissions, the Proposal should be contained in a single PDF and submitted in one e-mail transmission
- 6. All expenses involved with the preparation and submission of Proposals to CONRAD/EVMS, or any work performed in connection therewith, shall be borne by the Offeror. No payment(s) will be made for any responses received; or, for any other effort required of or made by the Offeror(s) prior to commencement of work as defined by a fully executed contract.
- 7. Ownership of all data, materials, and documentation originated and prepared for CONRAD/EVMS pursuant to the RFP shall belong upon submission exclusively to CONRAD/EVMS, as well as effective July 1, 2024, to Old Dominion University Research Foundation, and may be subject to public inspection in accordance with the Virginia Freedom of Information Act (FOIA) unless a confidentiality or non-disclosure agreement is signed by the parties.

D. Oral Presentation:

Offerors who submit a Proposal in response to this RFP may be invited or required to give an oral presentation of such Proposal to CONRAD/EVMS. This provides an opportunity for the Offeror to clarify or elaborate on the Proposal. This is a fact finding and explanation session only and does not include negotiation. If such oral presentations are deemed appropriate at the sole discretion of CONRAD/EVMS, CONRAD/EVMS will schedule the time and location for such presentations. Oral presentations, including telephone, video/internet conferencing are available at the sole discretion of CONRAD/EVMS and may or may not be conducted.

E. Specific Proposal Instructions:

Proposals should be as thorough and detailed as possible so CONRAD/EVMS may properly evaluate your capabilities to provide the required goods/services. Offerors are required to submit the following items as a complete Proposal:

1. Return the RFP cover sheet (Attachment A) and all addenda, if any, along with all attachments signed and filled out as required;
2. Completed Entity Data Sheet (Attachment C) attached to the RFP, and other specific items or data requested in the RFP;
3. Contractor must provide proof of insurance via a Certificate of Liability Insurance (COI) to the ODURF Grants & Contract Administrator upon award of Contract at rfhscawards@odu.edu.
4. Address each item in the Statement of Work;
5. Briefly describe what sets your Company/Proposal apart from your competitors;
6. A written narrative statement to include company history and key information relative to offeror's company as it pertains to this RFP;
7. A written narrative describing experience in providing the goods/services described herein, samples from similar projects within the last five (5) years;
8. Provide an organizational chart illustrating the team structure and interrelationships, and provide an executive summary describing the roles and responsibilities of the team members;
9. Provide names, qualifications and experience of key personnel to be assigned to this the project; and,
10. Resumes of key personnel to be assigned to the project;
11. Offeror must provide three (3) references to include contact name, title, company name, email address, phone number, and how long Offeror has been doing business with that company. References from Higher Education, Medical/Health

Centers or Research Facilities are preferred. References should be from within the past ten (10) years.

12. Offeror must provide a list of all accounts that have been lost during the past five (5) years including reasoning for cancellation or non-renewal.
13. Specific plans for providing the proposed goods/services, such as:
 - a. List of proposed equipment/goods/etc. including operating parameters, illustrations, etc.;
 - b. What, when and how the service will be performed and reference to any detailed work plans, milestones and timelines; and,
 - c. Time frame for completion (if not otherwise specified by CONRAD/EVMS or the ODU Research Foundation in the Statement of Needs);
14. Proposed Price broken down by line-item categories, **as applicable** –

For example:

- Personnel (total hours and hourly rate);
 - Travel (including anticipated destinations);
 - Laboratory Supplies;
 - Equipment;
 - Subcontracts; and,
 - Indirect Costs & Percentage (%) (if any), or as task-based pricing); and,
15. Internal Revenue Service (IRS) form W-9 for entities based in the United States (US) and appropriate W-8 for non-US based entities, signed by the Contractor's authorized official (See Section 11, Attachment D for W9/W8 Instructions).

8.0 PROPOSAL EVALUATION

A. Evaluation Criteria

Award of the Contract under this RFP shall be based on a written evaluation of the RFP evaluation criteria below (i.e., score sheet) of each responsible Offeror. Note that failure to provide accurate information required by this RFP may result in a lower score or rejection of the Proposal. CONRAD/EVMS has broad flexibility in fashioning the details of competition for this RFP. This may include conducting on-line Reverse Auctions for certain products within this RFP or setting price targets as part of follow-on negotiations

in order to determine the prices that will be used to evaluate the pricing part of your Proposal.

NUMERICAL WEIGHTS ASSIGNED TO SPECIFIC CRITERIA:

Technical Evaluation for B17-145 Study	Possible Score
<p>1. <u>Qualification & Experience</u> These include:</p> <ul style="list-style-type: none"> • Qualifications and experience in providing the services, including experience in supplying materials for clinical trials conducted in Africa’ Skill level and qualification of company representatives assigned to this project • Current capacity • Available strategic partnerships • Additional resources/functionality (e.g., in-country regulatory) • References from other clients 	25 points
<p>2. <u>Specific Plans or Methodology</u></p> <ul style="list-style-type: none"> • Specific plans or methodology to be used to perform the requested services • 	35 points
<p>3. <u>Quality of Technical Response</u></p> <ul style="list-style-type: none"> • Completeness and appropriateness of response (including all forms returned, completed, and executed) 	10 points
<p>4. <u>Price</u></p> <ul style="list-style-type: none"> • Detailed breakdown of services to be provided, as requested, and reasonableness of pricing 	20 points
<p>5. Small, Women, and Minority Vendors (SWaM)</p>	10 points
<p><u>Total:</u></p>	100 points

9.0 GENERAL TERMS AND CONDITIONS

- A. Applicable Laws and Courts: This solicitation and any resulting contract shall be governed in all respects by the laws of the Commonwealth of Virginia and any litigation with respect thereto shall be brought in the courts of the Commonwealth. The contractor shall comply with all applicable federal, state and local laws, rules and regulations.
- B. Anti-Discrimination: By submitting their proposals, offerors certify to the Medical School that they will conform to the provisions of the Civil Rights Act of 1964, as amended as well as the Virginia Fair Employment Contracting Act of 1975 as amended, where applicable. If the award is made to a faith-based organization, the organization shall not discriminate against any recipient of goods, services, or disbursements made pursuant to

the contract on the basis of the recipient's religion, religious belief, refusal to participate in a religious practice, or on the basis of race, age, color, gender or national origin and may be subject to the same rules as other organizations that contract with public bodies to account for the use of the funds provided.

In every contract, the provisions 1 and 2 below apply:

1. During the performance of this contract, the contractor agrees as follows:
 - a. The contractor will not discriminate against any employee or applicant for employment because of race, religion, color, sex, national origin, age, disability, or any other basis prohibited by state law relating to discrimination in employment, except where there is a bona fide occupational qualification reasonably necessary to the normal operation of the contractor. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices setting forth the provisions of this nondiscrimination clause.
 - b. The contractor, in all solicitations or advertisements for employees placed by or on behalf of the contractor, will state that such contractor is an equal opportunity employer.
 2. The contract will include the provision of 1 above in every subcontract or purchase order, so that the provisions will be binding upon each subcontractor or vendor.
- C. Ethics In Contracting: By submitting their proposal, offerors certify that their proposals are made without collusion or fraud and that they have not offered or received any kickbacks or inducements from any other offeror, supplier, manufacturer or subcontractor in connection with their proposal, and that they have not conferred on any Medical School employee having official responsibility for this procurement transaction any payment, loan, subscription, advance, deposit of money, services or anything of more than nominal value, present or promised, unless consideration of substantially equal or greater value was exchanged.
- D. Immigration Reform and Control Act of 1986: By submitting their proposals, offerors certify that they do not and will not during the performance of this contract employ illegal alien workers or otherwise violate the provisions of the federal Immigration Reform and Control Act of 1986.
- E. Debarment Status: By submitting their proposals, offerors certify that they are not currently debarred, enjoined or suspended by the Commonwealth of Virginia, from submitting bids or proposals on contracts for the type of goods and/or services covered by this solicitation, nor are they an agent of any person or entity that is currently so debarred. Also, offerors certify that they are not on the Department of Health and Human Services (HHS) and Office of Inspector General's (OIG) monthly list of excluded individuals/entities for any mandatory or permissive exclusions.

- F. Antitrust:** By entering into a contract, the contractor conveys, sells, assigns, and transfers to the Medical School and/or Old Dominion University Research Foundation all rights, title and interest in and to all causes of action it may now have or hereafter acquire under the antitrust laws of the United States and the Commonwealth of Virginia, relating to the particular goods or services purchased or acquired by the Medical School [and/or Old Dominion University Research Foundation](#) under said contract.
- G. Mandatory Use of Terms and Conditions for RFPs:** Modification of or additions to the General Terms and Conditions of the solicitation may be cause for rejection of the proposal; however, the Medical School reserves the right to decide, on a case-by-case basis, in its sole discretion, whether to reject such a proposal.
- H. Clarification of Terms:** If any prospective offeror has questions about the specification or other solicitation documents, the prospective offeror should contact the buyer whose name appears on the face of the solicitation no later than five working days before the due date. Any revisions to the solicitation will be made only by addendum issued by the buyer.
- I. Payment:**
1. To Prime Contractor:
 - a. Invoices for items ordered, delivered and accepted shall be submitted by the contractor directly to the payment address shown on the purchase order/contract. All invoices shall show the purchase order number and the contract number.
 - b. Any payment terms requiring payment in less than 30 days will be regarded as requiring payment 30 days after invoice or delivery, whichever occurs last. This shall not affect offers of discounts for payment in less than 30 days, however.
 - c. All goods and services provided under this contract/purchase order, shall be billed by the contractor at the contract price, regardless of which department is being billed.
 - d. The date of postmark shall be deemed to be the date of payment in all cases where payment is made by mail.
 - e. **Unreasonable Charges.** Under certain emergency procurements and for most time and materials purchases, final job costs cannot be accurately determined at the time orders are placed. In such cases, contractors should be put on notice that final payment in full is contingent on a determination of reasonableness with respect to all invoiced charges. Charges which appear to be unreasonable will be researched and challenged, and that portion of the invoice held in abeyance until a settlement can be reached. Upon determining that invoiced charges are not reasonable, ODURF shall promptly notify the contractor, in writing, as to those charges which it considers unreasonable and the basis for

the determination. A contractor shall not institute legal action unless a settlement cannot be reached within sixty (60) days of notification.

2. To Subcontractors:

- a. A contractor awarded a contract under this solicitation is hereby obligated:
 - i. To pay the subcontractor(s) within seven (7) days of the contractor's receipt of payment from the Medical School or Old Dominion University Research Foundation for the proportionate share of the payment received for work performed by the subcontractor(s) under the contract; or
 - ii. To notify the Medical School or Old Dominion University Research Foundation and the subcontractor(s), in writing, of the contractor's intention to withhold payment and the reason.
- b. The contractor is obligated to pay the subcontractor(s) interest at the rate of one percent per month (unless otherwise provided under the terms of the contract) on all amounts owed by the contractor that remain unpaid seven (7) days following receipt of payment from Old Dominion University Research Foundation, except for amounts withheld as stated in (ii) above. The date of mailing of any payment by U.S. Mail is deemed to be payment to the addressee. These provisions apply to each sub-tier contractor performing under the primary contract. A contractor's obligation to pay an interest charge to a subcontractor may not be construed to be an obligation of the Medical School or Old Dominion University Research Foundation.

J. Precedence of Terms: The following General Terms and Conditions APPLICABLE LAWS AND COURTS, ANTI-DISCRIMINATION, ETHICS IN CONTRACTING IMMIGRATION REFORM AND CONTROL ACT OF 1986, DEBARMENT STATUS, ANTITRUST, MANDATORY USE OF TERMS AND CONDITIONS, CLARIFICATION OF TERMS, PAYMENT shall apply in all instance. In the event there is a conflict between any of the other General Terms and Conditions and any Special Terms and Conditions in this solicitation, the Special Terms and Conditions shall apply.

K. Qualifications of Offerors: The Medical School may make such reasonable investigations as deemed proper and necessary to determine the ability of the offeror to perform the services/furnish the goods and the offeror shall furnish to the Medical School all such information and data for this purpose as may be requested. The Medical School reserves the right to inspect offeror's physical facilities prior to award to satisfy questions regarding the offeror's capabilities. The Medical School further reserves the right to reject any proposal if the evidence submitted by, or investigations of, such offeror fails to satisfy the Medical School that such offeror is properly qualified to carry out the obligations of the contract and to provide the services and/or furnish the goods contemplated therein.

L. Testing and Inspection: The Medical School reserves the right to conduct any test/inspection it may deem advisable to assure goods and services conform to the

specifications and communicate any adverse findings to Contractor and Old Dominion University Research Foundation contracting staff.

- M. Assignment of Contract:** A contract shall not be assignable by the contractor in whole or in part without the written consent of Old Dominion University Research Foundation.
- N. Changes to the Contract:** Changes can be made to the contract in any of the following ways followed by a change order/revised purchase order:
1. The parties may agree in writing to modify the scope of the contract. An increase or decrease in the price of the contract resulting from such modification shall be agreed to by the parties as a part of their written agreement to modify the scope of the contract.
 2. Old Dominion University Research Foundation may order changes within the general scope of the contract at any time by written notice to the contractor. Changes within the scope of the contract include, but are not limited to, things such as services to be performed, the method of packing or shipment, and the place of delivery or installation. The contractor shall comply with the notice upon receipt. The contractor shall be compensated for any additional costs incurred as the result of such order and shall give Old Dominion University Research Foundation a credit for any savings. Said compensation shall be determined by one of the following methods:
 - a. By mutual agreement between the parties in writing; or
 - b. By agreeing upon a unit price or using a unit price set forth in the contract, if the work to be done can be expressed in units, and the contractor accounts for the number of units of work performed subject to Old Dominion University Research Foundation's right to audit the contractor's records and/or to determine the correct number of units independently; or
 - c. By ordering the contractor to proceed with the work and keep a record of all costs incurred and savings realized. A markup for overhead and profit may be allowed if provided by the contract. The same markup shall be used for determining a decrease in price as the result of savings realized. The contractor shall present Old Dominion University Research Foundation with all vouchers and records of expenses incurred and savings realized. Old Dominion University Research Foundation shall have the right to audit the records of the contractor as it deems necessary to determine costs or savings. Any claim for an adjustment in price under this provision must be asserted by written notice to Old Dominion University Research Foundation within thirty (30) days from the date of receipt of the written order from Old Dominion University Research Foundation.
- O. Disputes:** Neither the existence of a claim nor a dispute resolution process, litigation or any other provision of this contract shall excuse the contractor from promptly complying with the performance of the contract generally or with the changes ordered by Old Dominion University Research Foundation.

- P. Default: In case of failure to deliver goods or services in accordance with the contract terms and conditions, the Medical School or Old Dominion University Research Foundation, after due oral or written notice, may procure them from other sources and hold the contractor responsible for any resulting additional purchase and administrative costs. This remedy shall be in addition to any other remedies which the Medical School or Old Dominion University Research Foundation may have.
- Q. Taxes: Sales to the Medical School, including Old Dominion University Research Foundation are normally exempt from State sales tax including prepared meals, catering and all serving charges, food, audio/visual services in connection with catering. State sales and use tax certificates of exemption, Form ST-12, will be issued upon request. Deliveries against this contract shall be free of excise or transportation taxes.
- R. Use of Brand Names: Unless otherwise provided in this solicitation, the name of a certain brand, make or manufacturer does not restrict offerors to a specific brand, make or manufacturer named, but conveys the general style, type, character, and quality of the article desired. Any article which the Medical School, in its sole discretion, determines to be the equal of that specified, considering quality, workmanship, economy of operation, and suitability for the purpose intended, shall be accepted. The Offeror is responsible to clearly and specifically identify the product being offered and to provide sufficient descriptive literature, catalog cuts, and technical detail to enable the Medical School to determine if the product offered meets the requirements of the solicitation. This is required even if offering the exact brand, make or manufacturer specified. Failure to furnish adequate data for evaluation purposes may result in declaring a bid nonresponsive. Unless the offeror clearly indicates in its proposal that the product offered is an equal product, such proposal will be considered to offer the brand name product referenced in the solicitation.
- S. Transportation and Packaging: By submitting their proposals, all offerors certify and warrant that the price offered for FOB destination includes only the actual freight rate costs at the lowest and best rate and is based upon the actual weight of the goods to be shipped. Except as otherwise specified herein, standard commercial packaging, packing and shipping containers shall be used. All shipping containers shall be legibly marked or labeled on the outside with purchase order number.
- T. Insurance: By signing and submitting a proposal under this solicitation, the offeror certifies that if awarded the contract, it will have the following insurance coverage at the time the contract is awarded. For construction contracts, if any subcontractors are involved, the subcontractor will have workers' compensation insurance in accordance with § 2.2-4332 and 65.2-800 et seq. of the *Code of Virginia*. The offeror further certifies that the contractor and any subcontractors will maintain these insurance coverage during the entire term of the contract and that all insurance coverage will be provided by insurance companies authorized to sell insurance in Virginia by the Virginia State Corporation Commission.

MINIMUM INSURANCE COVERAGES AND LIMITS REQUIRED FOR MOST CONTRACTS:

1. Product Liability Insurance: \$5 million to \$10 million per occurrence and aggregate.
2. General Liability Insurance: \$1 million per occurrence; \$2 million aggregate.
3. Professional Liability Insurance (Errors and Omissions): \$5 million per claim and aggregate.
4. Commercial Umbrella Insurance: \$5 million per occurrence and aggregate.
5. Product Recall Insurance: Contractor shall carry insurance covering the actual costs sustained in recalling defective product but no event less than US \$5 million per recall in each.
6. Commercial Property Insurance: Contractor shall be responsible for full and complete property insurance for the full value of their respective property related to this agreement, including their contents, products, equipment, fixtures, utilities, and other property they provide in fulfilling their contract. The contractor acknowledges that ODURF/EVMS does not carry insurance on the property of the contractor and, furthermore, will not be obligated to repair any damage thereto or replace the same.
7. Cyber Liability Insurance: \$1 million per occurrence.
8. Contractor shall name EVMS, Old Dominion University, Old Dominion University Research Foundation, and the Commonwealth of Virginia as an additional insured.

- U. Drug-Free Workplace: During the performance of this contract, the contractor agrees to provide a drug-free workplace for the contractor's employees; (ii) post in conspicuous places, available to employees and applicants for employment, a statement notifying employees that the unlawful manufacture, sale, distribution, dispensation, possession, or use of a controlled substance or marijuana is prohibited in the contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition; (iii) state in all solicitations or advertisements for employees placed by or on behalf of the contractor that the contractor maintains a drug-free workplace; and (iv) include the provisions of the foregoing clauses in every subcontract or purchase order of over \$10,000, so that the provisions will be binding upon each subcontractor or vendor.

For the purpose of this section, "drug-free workplace" means a site for the performance of work done in connection with a specific contract awarded to a contractor, the employees of whom are prohibited from engaging in the unlawful manufacture, sale, distribution, dispensation, possession or use of any controlled substance or marijuana during the performance of the contract.

- V. Nondiscrimination of Contractors: An offeror or contractor shall not be discriminated against in the solicitation or award of this contract because of race religion, color, sex, national origin, age or disability, faith-based organizational status, any other basis prohibited by state law relating to discrimination in employment or because the bidder or

offeror employs ex-offenders unless the Medical School has made a written determination that employing ex-offenders on the specific contract is not in its best interest. If the award of this contract is made to a faith-based organization and an individual, who applies for or receives goods, services, or disbursements provided pursuant to this contract objects to the religious character of the faith-based organization from which the individual receives or would receive the goods, services, or disbursements, the Medical School shall offer the individual, within a reasonable period of time after the date of his objection, access to equivalent goods, services, or disbursements from an alternative provider.

- W. Permits and Fees:** All proposals submitted shall have included in price the cost of any business or professional licenses, permits or fees required by the Commonwealth of Virginia. The Offeror must have all necessary licenses to perform the services in Virginia, and, if practicing as a corporation, be authorized to do business in the Commonwealth of Virginia.
- X. OSHA Standards:** All contractors and subcontractors performing services for the Medical School are required and shall comply with all Occupational Safety and Health Administration (OSHA) State and City Safety and Occupational Health Standards and any other applicable rules and regulations. Also, all contractors and subcontractors shall be held responsible for the safety of their employees and any unsafe acts or conditions that may cause injury or damage to any persons or property within and around the work site area under this RFP.
- Y. Equal Employment Opportunity (EEO) Clause for Veterans:** An offeror or contractor shall abide by the requirements of 41 CFR 60-300.5(a). This regulation prohibits discrimination against qualified protected veterans, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified protected veterans.
- Z. Equal Employment Opportunity (EEO) Clause for Individuals with Disabilities:** An offeror or contractor shall abide by the requirements of 41 CFR 60-741.5(a). This regulation prohibits discrimination against qualified individuals on the basis of disability, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified individuals with disabilities.
- AA. Equal Employment Opportunity (EEO) Clause for Individuals based on Race, Color, Religion, Sex, or National Origin:** An offeror or contractor shall abide by the requirements of 41 CFR 60-1.4(a). This regulation prohibits discrimination against qualified individuals on the basis of race, color, religion, sex, or national origin, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified individuals without regard to their race, color, religion, sex, or national origin.

10.0 SPECIAL TERMS AND CONDITIONS

- A. Advertising:** In the event a contract is awarded for supplies, equipment, or services resulting from this proposal, no indication of such sales or services to the Medical School will be used in product literature or advertising. The contractor shall not state in any of its advertising or product literature that the Medical School, Old Dominion University

Street or Box Number

RFP No.

City, State, Zip Code

RFP Title

Name of Contract/Purchase Officer or Buyer

The envelope should be addressed as directed on Page 2 of the solicitation.

If a proposal not contained in the special envelope is mailed, the offeror takes the risk that the envelope, even if marked as described above, may be inadvertently opened and the information compromised which may cause the proposal to be disqualified. Proposals may be hand delivered to the designated location in the office issuing the solicitation. No other correspondence or other proposals should be placed in the envelope.

- H. Indemnification:** Contractor agrees to indemnify, defend and hold harmless the Medical School, Old Dominion University Research Foundation, and Old Dominion University generally, its officers, agents, and employees from any claims, damages and actions of any kind or nature, whether at law or in equity, arising from or caused by the use of any materials, goods, or equipment of any kind or nature furnished by the contractor/any services of any kind or nature furnished by the contractor, provided that such liability is not attributable to the sole negligence of the Medical School or to failure of the Medical School to use the materials, goods, or equipment in the manner already and permanently described by the contractor on the materials, goods or equipment delivered.
- I. Accuracy/Competition:** By submitting a proposal, offerors certify that all information provided in response to this RFP is true and accurate. Failure to provide information required by this RFP may ultimately result in rejection of the proposal.

Furthermore, the Medical School has broad flexibility in fashioning the details of competition for this RFP. This may include conducting on-line Reverse Auctions for certain products within this RFP or setting price targets as part of follow-on negotiations in order to determine the prices that will be used to evaluate the pricing part of your proposal.

- J. Additional Cost:** No service fees or additional costs will be invoiced to the Medical School or Old Dominion University Research Foundation by the contractor during the term of the contract except as allowed for in the contract.
- K. Bonds:** By submitting a proposal, offerors confirm that the company and subcontractors involved in construction or facility improvements exceeding \$100,000 will provide the following:
1. A bid guarantee equivalent to five percent of bid price as assurance that the bidder upon acceptance of his bid, execute such contractual documents as may

be required within the time specified. **Proposals submitted without required bid bond will be rejected.**

2. A performance bond on the part of the offeror for 100 percent of the contract price to secure fulfillment of all the offerors obligations under such contract.
3. A payment bond on the part of the offeror for 100 percent of the contract price to assure payment as required by statute of all persons supplying labor and material in the execution of the work provided for in the contract.
4. Bonds shall be obtained from companies holding certificates of authority as acceptable sureties pursuant to 31 CFR Part 223, "Surety Companies Doing Business with the United States".

11.0 CONTRACT NEGOTIATION

- A. Based on evaluation of the Proposals, one or more successful Offerors will be selected. Award of the contract shall be made to the Offeror(s) deemed to be fully qualified and best suited for the project based on the evaluation criteria set forth herein. ODURF/CONRAD will select the Proposal determined during the evaluation of the timely submitted Proposals to be the most advantageous in meeting the specifications as outlined under this RFP. This may or may not be the Offeror that presented the lowest costs/price.
- B. ODURF/CONRAD will forward the successful Offeror(s) a draft Master Service Agreement (MSA) for review and negotiation. During this negotiation process, the successful bidder may review the terms and conditions and negotiate with ODURF/CONRAD on acceptable MSA language. It is anticipated that the MSA will be accompanied by one or more Task Orders (TOs), each of which will cover a specific work scope. Further negotiation with the selected Offeror(s) is anticipated to finalize work scope(s) and budget(s) of individual TO(s).
- C. Terms and Conditions including, but not limited to, insurance, governing law, intellectual property and data rights and dispute resolution that are added to or modified from ODURF/CONRAD approved terms and conditions shall require ODURF/CONRAD approval. In that regard, the resultant MSA will be considered a Fee-for-Service contract and CONRAD/EVMS, which will become part of Old Dominion University on July 1, 2024, and Old Dominion University Research Foundation, would own the data generated from the Study(ies).
- D. Non-Negotiable Terms and Conditions.

By submitting a response to this RFP the Offeror agrees that it, and any subcontractors that Offeror shall utilize to fulfill the Contract Workslope, shall be subject to mandatory standard provisions that flow-down from the prime award made to ODURF/CONRAD by the Federal Government or private funders applicable to that contract under the prime award and that it must certify compliance with certain federal laws/guidelines, as applicable, including, but not limited to the following:

1. ***Equal Employment Opportunity (EEO) Clause for Veterans.*** An offeror or contractor shall abide by the requirements of *41 CFR 60-300.5(a)*. This regulation prohibits discrimination against qualified protected veterans, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified protected veterans.
2. ***Equal Employment Opportunity (EEO) Clause for Individuals with Disabilities.*** An offeror or contractor shall abide by the requirements of *41 CFR 60-741.5(a)*. This regulation prohibits discrimination against qualified individuals on the basis of disability, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified individuals with disabilities.
3. ***Equal Employment Opportunity (EEO) Clause for Individuals based on Race, Color, Religion, Sex, or National Origin.*** An offeror or contractor shall abide by the requirements of *41 CFR 60-1.4(a)*. This regulation prohibits discrimination against qualified individuals on the basis of race, color, religion, sex, or national origin, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified individuals without regard to their race, color, religion, sex, or national origin;
4. ***Copeland “Anti-Kickback” Act (18 U.S.C. 874 and 40 U.S.C. 276c)***, as supplemented by Department of Labor regulations (*29 CFR part 3, “Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States”*), prohibiting the Contractor from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part or compensation to which otherwise entitled;
5. ***Davis-Bacon Act***, as amended (*40 U.S.C. 276a to a-7*) and as supplemented by Department of Labor regulations (*29 CFR part 5, “Labor Standards Provisions applicable to Contracts Governing Federally Financed and Assisted Construction”*), regulating wages to laborers and mechanics;
6. ***Contract Work Hours and Safety Standards Act (40 U.S.C. 327-333)*** as supplemented by Department of Labor regulations (*29 CFR part 5*), regulating laborer and mechanic work hours and safety standards;
7. ***Rights to Inventions Made Under a Contract or Agreement*** – (*37 CFR part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Grants, Contracts and Cooperative Agreements,”* providing for the invention rights of the Parties and the Federal Government;
8. ***Clean Air Act (42 U.S.C. et seq.)*** and the Federal Water Pollution Control Act (*33 U.S.C 1251 et seq.*) as amended related to applicable standards under said regulations; and,

9. ***Worker's Compensation Insurance*** (42 U.S.C. 1651, *et seq.*) requiring worker's compensation insurance for contracts, which require performance outside the United States as applicable per the circumstance of each particular contract.
- E. All CONRAD/EVMS contracts are subject to prime funding constraints and applicable federal and state laws, regulations, guidelines as well as CONRAD/EVMS policies and procedures (including effective July 1, 2024, Old Dominion University Research Foundation policies and procedures). If ODURF/CONRAD and the Offeror(s) are unable to agree on the negotiated contract terms and conditions, ODURF/CONRAD may elect to terminate negotiations and begin negotiations with the second best ranked Offeror and so forth. If those negotiations do not result in mutually acceptable contract terms and conditions, the negotiations with the next best qualified Offeror(s) will continue until there is an executed contract, there are no more Offerors to negotiate with based on submitted/complete Proposals or ODURF/CONRAD, at its sole discretion, terminates the RFP. No Offeror shall have any claims and/or rights against ODURF/CONRAD arising from such negotiations and/or the RFP evaluation or overall process.
- F. At the conclusion of negotiations, the Offeror(s) may be asked to submit in writing, a Best And Final Offer (BAFO) along with an executed copy of the negotiated contract with the understanding that all contracts funded under prime awards to ODURF/CONRAD by the United States Government, private foundations or other nonprofit organizations may be subject to availability of funds. Under these circumstances, there may be additional approval requirements required by the funding federal agency, private foundation or other organization. After submitting the BAFO and/or the Contract is fully executed, no further negotiations shall be conducted with the Offeror(s). In the case of BAFO submission, the Offeror's Proposal will be rescored to combine and include the information contained in the BAFO. CONRAD/EVMS will base its final decision regarding the contract award on the final evaluation, which will include the BAFO.
- G. **Cancellation of Contract.**
ODURF/CONRAD reserves the right to cancel and terminate any resulting contract, in part or in whole, without penalty, unless otherwise negotiated under the contract, upon thirty (60) days prior written notice to the contractor. Any contract cancellation notice shall not relieve the Offeror of the obligation to deliver and/or perform on all outstanding orders issued prior to the effective date of cancellation.

12.0 **AWARD**

- A. Upon the announcement of the decision to award under this RFP, ODU Research Foundation will publicly post such notice on the ODU Research Foundation Internet web site at <https://researchfoundation.odu.edu/procurement/> for a minimum of ten (10) days. EVMS will contact each successful Offeror with additional post-award information as deemed appropriate per award.
- B. While it is the intent of CONRAD/EVMS to award only one contract. CONRAD/EVMS or Old Dominion University Research Foundation reserves the right to not make an award or to award multiple contracts, if deemed in the best interest of CONRAD/EVMS or as

required under the applicable prime funding award. All decisions made by CONRAD/EVMS, or Old Dominion University Research Foundation, are final. In addition, CONRAD/EVMS or Old Dominion University Research Foundation, reserves the right, in its sole and absolute discretion, to:

1. Issue or not reissue a subsequent RFP if no award is made;
2. Not select any Offeror that submitted a Proposals
3. Make a partial award;
4. Modify, change or reduce the scope of work for this RFP; and/or
5. Waive any irregularities in this RFP or in the Proposals received as a result of this RFP.

13.0 ATTACHMENTS:

- Attachment A – RFP Coversheet
- Attachment B – Offeror RFP Certification
- Attachment C - Entity Data Sheet
- Attachment D – W9/W8 Instruction Sheet
- Attachment E – Contractor’s License & Insurance Information
- Attachment F – Small, Woman and Minority-Owned (SWaM) Business Subcontracting Plan
- Attachment G – Pricing Schedule

ATTACHMENT A

**RFP
Coversheet**

From: _____

Due Date: _____

Approximate Time: _____

Address: _____

Contractor's Project Manager: _____
(Name / Title)

Project Title: _____

ATTACHMENT B

Offeror RFP Certification

RFP Number: _____

Issue Date: _____

Project Title: _____

Name of Entity: _____

Street Address: _____

State/ Zip Code: _____

Federal Employer Identification Number (FEIN): _____

Foreign Identification Number (FIN), if applicable: _____

Data Universal Numbering System # (DUNS): _____

By signing below, I hereby certify that:

1. I have the authority to submit the attached Proposal and am the authorized signatory for the entity listed above;
2. The terms and conditions of this RFP are accepted by the entity listed above and all good/services will be provided in accordance with the attached Proposal; and,
3. All information provided in the attached Proposal is true and correct to the best of my knowledge, information and belief.

Signature By: _____

Date: _____

Name: _____

Title: _____

Phone: _____

Fax: _____

E-mail: _____

ATTACHMENT C

Entity Data Sheet

Please complete the following information:

1. **Entity Name:** _____

2. **Signatory Name:
& Title** _____

3. **Address:** _____

4. **Primary Negotiation
Point of Contact**
Name: _____

Title: _____

Email Address: _____

Telephone Number: _____

5. Is the entity registered in System for Award Management (SAM), formerly known as the Central Contractor Registration (CCR)? Registration in SAM is required under 2 CFR 25 unless the entity is exempt under 2 CFR 25.110.

Yes _____ No _____ Expiration Date: _____

6. **Entity DUNS Number:** _____
7. **Entity Employer Identification Number(EIN):** _____
8. **Commercial and Government Entity Code, if applicable (CAGE):** _____

9. **Past Work Experience:** *(Past work experience in general and as it pertains to the Proposal submitted in response to an RFP or an RFQ.)*
10. **Key Personnel:** (List Names and Titles and attach resumes).
11. **Professional references:** Please include length of time Offeror has been providing this type of service and what year the service was provided).
12. **Small Business Status (Check all that apply):** Offeror claiming status in one of the categories below must be registered with an authorizing agency, such as the Small Business Administration or Department of Small Business and Supplier Diversity. A copy of the certificate(s) must be provided.
- Small Disadvantaged Owned Business (SDB)
 - Woman Owned Small Business (WOSB)
 - Veteran Owned Small Business (VOSB)
 - Minority Owned Small Business (MOSB)
 - HubZone Small Business (HSB)
 - Service Disabled Small Business (SDVOSB)
 - Small Business (SB)
 - Not Applicable (NA)

ATTACHMENT D

W9/W8 Instruction Sheet

The Internal Revenue Service (IRS) requires verification of taxpayer information when making disbursements to individuals and entities. This is accomplished by either IRS form W9 for United States (US) entities or W8 for foreign entities not based in the US.

Please refer to <https://irs.gov> for more detailed information regarding which is the appropriate taxpayer information form that must be completed by your organization and returned with your Proposal in response to the RFP. The links below are provided for your convenience but it is the responsibility of your organization to submit the correct form with your request. Please see the below for links to examples of Taxpayer Information Forms:

IRS Form - W9 (US)

<http://www.irs.gov/pub/irs-pdf/fw9.pdf>.

IRS Form – W8 (Non-US)

There are several types of W8 forms that are available. See the following as an example of one type of W8 that is frequently used:

<http://www.irs.gov/pub/irs-pdf/fw8ben.pdf>.

ATTACHMENT F

Small, Woman and Minority-Owned (SWaM) Business Subcontracting Plan

Offeror: _____

Address: _____

Solicitation Number: _____

Supplies or services offered: _____

Total estimated cost of subcontract to SWaM Businesses: \$ _____

Period of Performance From: _____ To: _____

1. We plan to subcontract the following principal types of supplies and services to SDB, WOSB, VOSB, MOSB, HSB, SDVOSB, SB (reference Attachment C – Section12)

Name of Subcontractor	Types of Supplies/Service	Type of SWaM Business	Certification #

***It is the policy of the Medical School to facilitate the establishment, preservation, and strengthening of small businesses and businesses owned by women, minorities, and service-disabled veterans, and to encourage their participation in the Medical School’s procurement activities. **Toward that end, the Medical School has set a goal of minimum 42% spend with SWaM businesses.** The Medical School encourages these firms to compete and encourages other firms to provide for the participation of these firms through partnerships, joint ventures, subcontracts, or other contractual opportunities. Offerors are asked, as part of their submission, to identify and describe any planned use of such business in fulfilling this contract.*

2. We developed the SWaM business subcontracting principles in 1 above by the following methods. Explain how they are to be used and identify any source list used.

3. Identify the overall goals you plan to accomplish by instating this SWaM business subcontracting plan.

4. The employee who will administer our subcontracting program is:

Name: _____
Title: _____
Address: _____
Telephone: _____

The administrator's duties include:

5. We will make the following internal/external efforts to ensure that SDB, WOSB, VOSB, MOSB, HSB, SDVOSB, SB have an equitable opportunity to compete for subcontracts by:

6. We will maintain the following types of records to document our efforts to solicit small businesses as it relates to this SWaM business subcontracting plan:

